A Glance at Integrity Compliance Management System (ICMS)

ICAC

CPAS 防貪諮詢服務 Corruption Prevention Advisory Service

Attracting Investors

Amplifying Business

Adding Resistance

Integrity Compliance Management System

Education | Prevention | Detection

- Integrity Policy with a statement of top-level commitment to adopt ethical and anti-corruption business practices, high standard of integrity and zero tolerance to corruption
- Code of Conduct covering probity and conduct requirements for directors and other personnel, including compliance with applicable laws and regulations in Hong Kong and abroad, restrictions on solicitation, acceptance and offering of advantages, declaration and management of conflict of interest, confidentiality of information, consequences of breach, etc.
- Audit on the implementation of ICMS periodically to ensure its continuous effective operation
- Policy setting out the procedures for reporting corruption and reminding handling personnel to duly consider the nature of each report received and refer any alleged or suspected corrupt practices to the ICAC as soon as possible

- Systematic programme to provide integrity capacity building training to directors and staff at different levels of an issuer, embed integrity-prioritised values into corporate culture by fostering leadership commitment, communication, accountability, transparency
- Engagement with external stakeholders (e.g. government, regulators, anti-corruption agencies, industry peers, business counterparts) to conduct capacity building, share best integrity practices and collectively combat corruption
- Mechanism for regular identification and assessment of integrity/corruption risks of functional/business areas (e.g. procurement) and/or specific work procedures, the controls for mitigating the risks, and the monitoring of control implementation